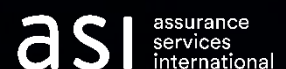




RISK INFORMATION
ALLIANCE

Country - Commodity Risk Assessment Joint indicators

June 2026



Introduction

The Risk Information Alliance (RIA) is a collaboration between multiple organisations. It includes Preferred by Nature, the Sustainable Biomass Program (SBP), the Forest Stewardship Council™ (FSC™), the Global Platform for Sustainable Natural Rubber (GPSNR), and Assurance Services International (ASI).

This document contains the list of joint indicators developed by RIA Members to develop aligned RIA country risk assessments.

Legend



This symbol highlights criteria or indicators which can be assessed with the risk screening method, thanks to the selected index or indices and set thresholds.



This symbol highlights indicators that work in pair, usually where one is focusing on whether legal frameworks in place are complied with, and the other looking at practices in the sector, beyond existing legal frameworks.

1. Land rights and third parties' rights	
1.1.	Land tenure and management rights are secure.
1.1.1.	Land tenure rights are clearly defined, legally secure, and registered in compliance with applicable legal requirements.
1.1.2.	Land management rights are clearly defined, legally secure, and registered in compliance with applicable legal requirements.
1.2.	Required permits, planning and protection from illegal activities are in place.
1.2.1.	Harvesting or operational permits are in place and are issued and registered according to legal requirements.
1.2.2.	Legal requirements for land-use planning and management planning are complied with.
1.2.3.	Land areas under management are protected from illegal encroachment and activities.
1.3.	Rights of third parties are respected.
1.3.1.	Legal requirements related to the rights of Indigenous Peoples* are complied with.
1.3.2.	Legal requirements related to the rights of Traditional Peoples* are complied with.
1.3.3.	Legally recognized customary and community rights are identified and respected.

* The considered definitions of Indigenous Peoples, Traditional Peoples and local communities are available in the RIA Indicator guidance document.

1.3.4.	The rights of Indigenous Peoples* are respected and upheld according to the principles of Free, Prior and Informed Consent (FPIC).
1.3.5.	The rights of Traditional Peoples* are respected and upheld according to the principles of Free, Prior and Informed Consent (FPIC).
1.3.6.	The rights of local communities* are respected and upheld.
2. Management activities and environmental protection	
2.1.	Management activities are legally conducted.
2.1.1.	Legal requirements for management activities and related operational requirements are complied with.
2.1.2.	Legal requirements related to the management of the impacts caused by natural processes such as fires, pests and diseases are complied with.
2.2.	Infrastructure associated with management activities is developed and maintained, ensuring minimum impacts on environmental values.
2.2.1. 	Legal requirements for the protection of social and environmental values during development and maintenance of infrastructure associated with land-use activities are complied with.
2.2.2. 	Development and maintenance of infrastructure associated with land-use activities are done in a way that minimises adverse impacts on social and environmental values.
2.3.	Waste resulting from management activities is managed and minimized.
2.3.1. 	Legal requirements related to the storage, treatment and disposal of waste during management activities are complied with.
2.3.2. 	The volume and impacts of waste storage, treatment, and disposal as a result of management activities are managed and minimised.
2.4.	Pollution resulting from management activities is controlled and minimized.
2.4.1. 	Legal requirements related to pollution, resulting from management activities are complied with.
2.4.2. 	Pollution, resulting from management activities is controlled and minimised.
2.5.	Water resources are protected and used responsibly during management activities.
2.5.1. 	Legal requirements related to the use and protection of water resources are complied with.
2.5.2. 	Buffer zones are established and maintained around streams, rivers, wetlands, and ponds.
2.5.3. 	Water bodies and resources are protected and used responsibly with the aim of ensuring long-term viability.
2.6.	Soil is protected during management activities, and negative impacts are minimised with the aim of securing soil's health.
2.6.1. 	Legal requirements related to the protection of soils during management activities are complied with.

2.6.2.		Negative impacts from management activities on soil's physical, chemical and biological conditions are managed and minimised to secure soil health.
2.7.		Chemicals and fertilizers are used responsibly in management activities with minimal negative impacts on the environment.
2.7.1.		Legal requirements related to the use, application, storage, and disposal of chemicals and fertilizers in management activities are complied with.
2.7.2.		The use, application, storage, and disposal of chemicals and fertilizers in management activities are conducted in a manner that prevents harm to the environment.
2.7.3.		Hazardous chemicals are not used in management activities.
2.8.		The use of burning or fire for land preparation and waste management is avoided, whenever possible, and in case of use, it is justified and impacts are minimised.
2.8.1.		Legal requirements related to the use of open burning/fire in operations for land preparation and waste management are complied with.
2.8.2.		The use of open burning/fire in operations for land preparation and waste management managed and negative impacts minimized.
2.9.		Invasive species in production are controlled and GMOs are not used.
2.9.1.		Legal requirements related to the use, monitoring and control of invasive species are complied with.
2.9.2.		The use of invasive species is monitored, controlled and impacts mitigated.
2.9.3.		There is no commercial use of GMO.
3. Ecosystem conversion, degradation and HCV protection		
3.1.		Forests and other ecosystems are not converted nor degraded.
3.1.1.		There is no conversion of forests: a) Conversion of forests, including plantations, after 2008; b) Conversion of natural and semi-natural forests and forest plantations after 31 December 2020; c) Conversion of forests, including plantations, to agriculture after 31 December 2020.
3.1.2.		There is no conversion from natural non-forest ecosystems, such as peatland, wetland and biodiverse grassland: a) after January 2008; b) after 31 December 2020.
3.1.3.		There is no degradation of natural forests since 31 December 2020.
3.1.4.		There is no degradation of non-forest natural ecosystems: a) after January 2008; b) after 31 December 2020.

3.2.	High conversation values are protected, maintained or enhanced.
3.2.1.	Legal requirements related to biodiversity conservation, protected sites, and the protection of endemic, rare, threatened, or endangered species and their habitats are complied with.
3.2.2.	Concentrations of biological diversity including endemic species, and rare, threatened, or endangered species that are significant at global, regional or national levels are identified protected, maintained or enhanced (HCV1).
3.2.3.	Intact forest landscapes and large landscape-level ecosystems and ecosystem mosaics that are significant at global, regional, or national levels, and which contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance, are identified and protected, maintained or enhanced (HCV2).
3.2.4.	Rare, threatened, or endangered ecosystems, habitats or refugia are identified and protected, maintained, or enhanced (HCV3).
3.2.5.	Basic ecosystem services in critical situations, including the protection of water catchments and control of erosion of vulnerable soils and slopes, are identified and protected, maintained, or enhanced (HCV4).
3.2.6.	Sites and resources fundamental for satisfying the basic needs of local communities or Indigenous Peoples are identified and protected (HCV5).
3.2.7.	Sites, resources, habitats, and landscapes of global, national or local cultural, archaeological, or historical significance, and/or of critical cultural, ecological, economic or religious/sacred importance for the traditional cultures of local communities or Indigenous Peoples are identified and protected, maintained, or enhanced (HCV6).
4. Human rights	
4.1.	There is no contribution to international crimes and armed conflict.
4.1.1.	Harvest or trade in products do not contribute to international crimes and armed conflict.
4.2. 	Modern slavery, including forced and compulsory labour, are not taking place.
4.2.1. 	Legal requirements on modern slavery, in all its forms, are complied with.
4.2.2. 	Modern slavery, in all its forms, is not used, promoted, or supported in any way.
4.3.	Child labour is not occurring and employment of young workers is responsibly managed.
4.3.1. 	Legal requirements related to child labour and young workers are complied with.
4.3.2. 	Child labour is not present, and the employment of young workers is responsibly managed.
5. Workers' rights	
5.1.	Health and safety - facilities and activities are safe and support worker's health.
5.1.1.	Legal requirements related to occupational health and safety of workers are complied with.

5.1.2.	Activities are safe and support worker's health, and workers have access to and use appropriate Personal Protective Equipment for the activities undertaken.
5.1.3.	Housing and facilities are safe and support worker's health.
5.2.	Workers' rights related to recruitment and contracting are respected.
5.2.1.	Legal requirements related to contracts, working permits, competence certifications and other training requirements are complied with.
5.3.	Workers are paid fairly and responsibly.
5.3.1. 	Legal requirements related to workers' wages and other payments, such as social insurance, are complied with.
5.3.2. 	Workers' wages meet or exceed recognised standards or/or established living wages for the sector.
5.4.	Working hours, overtime, rest time and time off are fair and responsible.
5.4.1.	Legal requirements related to working hours, overtime, rest time and time off, are complied with.
5.4.2.	ILO conventions related to working hours, overtime, rest time and time off, are complied with.
5.5. 	Freedom of Association, the Right to Organise and the Right to Collective Bargaining are upheld.
5.5.1. 	Legal requirements related to the Freedom of Association, the Right to Organise and the Right to Collective Bargaining are complied with.
5.5.2. 	Workers have the Right to Organise and the Right to Collective Bargaining as specified in the ILO Fundamental Principles and Rights at Work.
5.6.	There are equal opportunities for all, and no discrimination against workers.
5.6.1. 	Legal requirements related to gender equality, non-discrimination, and protection from harassment in the workplace are complied with.
5.6.2. 	Workers are free from discrimination and are protected against harassment.
5.6.3. 	Gender equality is protected following best practices.
5.6.4.	Right to maternity and paternity leave is ensured as defined by ILO 183 and is observed and implemented.
6. Economic and trade conditions	
<i>Note: this theme scope is going beyond activities at farm or forest level. The assessment includes practices up to the first point of aggregation or processing of the raw commodity.</i>	
6.1.	Corruption, fraud and conflict of interest are avoided.
6.1.1.	Legal requirements related to fraud and corruption, including bribery are complied with.
6.1.2. 	Bribery and corruption do not take place.

6.1.3.	Data and document falsification do not occur.
6.2.	Taxes, fees and royalties are paid according to legal requirements.
6.2.1.	Legal requirements related to payment of royalties, land/area taxes and fees are complied with.
6.2.2.	Legal requirements related to payment of value-added taxes and/or other sales taxes are complied with.
6.2.3.	Legal requirements related to payment of corporate taxes are complied with, including profit taxes.
6.2.4.	Legal requirements related to payment of trade and/or export taxes and fees are complied with.
6.2.5.	Legal requirements related to environmental taxes are complied with.
6.3.	Trade and transport of products are conducted according to legal requirements and do not contribute to illicit trade.
6.3.1.	Legal requirements related to trade and transport of products are complied with.
6.3.2.	Legal requirements related to applicable trade restrictions and sanctions are complied with.
6.3.3.	Legal requirements related to the classification of products are complied with.
6.3.4.	Legal requirements related to export and/or import are complied with.
6.3.5.	Legal requirements related to offshore trading and transfer pricing are complied with.
6.3.6.	Legal requirements related to due diligence or due care are complied with.
6.3.7.	Legal requirements related to the harvesting, collection and trade of CITES species are complied with.



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The **Risk Information Alliance (RIA)** is a collaboration of organisations working together to address the growing complexity of corporate due diligence and responsible business practices. By pooling resources, funding, and expertise, RIA facilitates the joint production of credible, high-quality, and up-to-date risk data across commodities, sectors, and geographies.

Through the development of a shared methodology, indicators, and a blueprint for a risk data storage platform, RIA enables its members to share and access risk data efficiently and cost-effectively. This collaboration supports better-informed sourcing decisions, stronger sustainability investments, and more consistent due diligence outcomes.

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Learn more: www.riskinformationalliance.org

